

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
ATTY. DOCKET NO. 78945-7

#2

J1036 U.S. PTO
09/893584
06/29/01

In re Patent Application of Sudhakar Ganti, et al

Serial No.

Group Art Unit:

Filed:

Examiner:

For: CASCADED POLICING SYSTEMS AND METHODS

INFORMATION DISCLOSURE STATEMENT

This Information Disclosure Statement is being filed in the manner prescribed by 37 CFR 1.97(b) - (d) to satisfy the duty under 37 CFR 1.56 to disclose to the Office information, known to individuals associated with the filing and prosecution of the subject application, which is material to the examination of the application.

In accordance with 37 CFR 1.97(g) and (h), this statement is not to be construed as a representation that a search has been made or an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 CFR 1.56(b).

In compliance with 37 CFR 1.98(a)(1), a list of all patents, publications or other information submitted for consideration by the Office is hereby provided by way of the attached Form PTO 1449.

In compliance with 37 CFR 1.98(a)(2), also enclosed is a legible copy of:

- i) each United States and foreign patent;
- ii) each publication or that portion which caused it to be listed; and
- iii) all other information or that portion which caused it to be listed, excluding any copies of a United States patent application.


It is respectfully requested that the information be expressly considered by the Examiner and that the references be made of record and appear among the "References Cited" on any patent to issue therefrom.

The Patent Office is hereby authorized to charge any deficiency, or credit any overpayment in fees to Deposit Account Number 19-2550.

Respectfully submitted,

SUDHAKAR GANTI, ET AL

Dated: June 28, 2001



Allan Brett
Reg. No. 40,476
Smart & Biggar
Box 2999, Station D
55 Metcalfe Street, Suite 900
Ottawa, Ontario
Canada K1P 5Y6
Telephone: (613) 232-2486
Fax: (613) 232-8440

Encls.: Form PTO-1449
 All references listed on Form PTO-1449

Form PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	Atty. Docket No. 78945-7 Serial No. Applicant Sudhakar Ganti, et al Filing Date Group
--	---

11036 U.S. PTO
 09/893584
 06/29/01

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS

EXAM. INIT.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FIL. DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
					YES	NO

OTHER ART (including Author, Title, Date, Pertinent Pages, Etc.)

AA		"Quality of service in ATM networks : state-of-the-art traffic management", Natalie Giroux, Sudhakar Ganti, 1999 by Prentice-Hall PtR, pages 38 to 46 and 61.
AB		"Specification of Guaranteed Quality of Service", Shenker, et al., RFC 2212, Standards Track, September 1997, pages 1 to 20.
AC		"An Architecture for Differentiated Services", Blake, et al., RFC 2475, Informational, December 1998, pages 1 to 36.
AD		"A Framework for Internet Traffic Engineering", Daniel O. Awduche, et al., March 2001, pages 1 to 63.

EXAMINER	DATE CONSIDERED
-----------------	------------------------

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.